



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John M.K. Daniel et al.

Serial No.: 10/650,303

Examiner: Unknown

Filed: August 28, 2003

Group Art Unit: Unknown

For: DISTAL PROTECTION DEVICE AND METHOD

Docket No.: 1001.1351113

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 28th day of January, 2004.

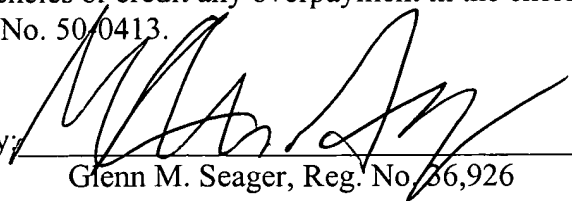
By


Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449(Pursuant to 37 CFR §1.98(d) and 37 CFR §1.98 (a)(2)(i), copies of the references are not being submitted).
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 500413.

By:


Glenn M. Seager, Reg. No. 36,926
Customer No. 28075

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1221 Nicollet Avenue, Suite 800
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PATENT

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CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 28 day of January, 2004.

By

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

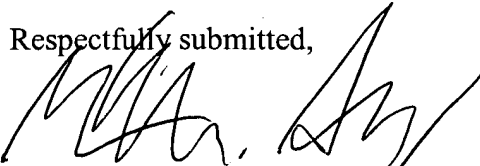
Copies of the documents listed on the 1449 Form were previously either submitted by Applicant, or cited by the U.S. Patent and Trademark Office in a prior application, U.S. Application No. 10/160,450 filed May 30, 2002, U.S. Application No. 09/735,332 filed December 12, 2000, U.S. Application No. 09/409,497 filed September

30, 1999, U.S. Application No. 08/943,358 filed October 3, 1997, now U.S. Patent No. 6,001,118, U.S. Application No. 08/810,825 filed March 6, 1997, now U.S. Patent No. 5,814,064, U.S. Application No. 08/813,794 filed March 6, 1997, now U.S. Patent No. 5,827,324, or is a U.S. patent application publication or a U.S. patent, upon which Applicant relies for the benefits provided in 35 U.S.C. §120. Therefore, under the provisions of 37 C.F.R. §1.98(d) and 37 CFR §1.98(a)(2)(i), copies of these documents are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: Jan 26, 2004

Respectfully submitted,



Glenn M. Seager, Reg. No. 36,926

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FORM 1449*

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(Use several sheets if necessary)

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U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	5,749,848	05/12/98	Jang et al.		
	5,728,066	03/17/98	Daneshvar		
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	5,695,519	12/09/97	Summers et al.		
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	5,626,605	05/1997	Irie et al.		
	5,549,626	08/27/96	Miller et al.		
	5,536,242	07/16/96	Willard et al.		
	5,527,338	06/18/96	Purdy		
	5,462,529	10/31/95	Simpson et al.		
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EXAMINER:

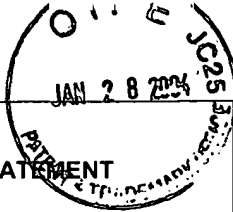
DATE CONSIDERED:

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	5,133,733	07/28/92	Rasmussen et al.		
	5,108,419	04/1992	Reger et al.		
	5,102,415	04/07/92	Guenther et al.		
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	5,071,407	12/10/91	Termin et al.		
	5,053,008	10/01/91	Bajaj		
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	5,002,560	03/26/91	Machold et al.		
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	4,590,938	05/27/86	Segura et al.		
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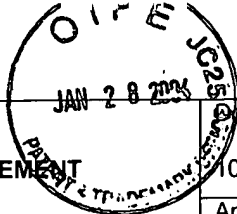
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	4,046,150	09/06/77	Schwartz et al.		
	3,996,938	12/14/76	Clark, III		
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	WO 01/21100	03/29/01	WIPO		
	WO 01/21077	03/29/01	WIPO		
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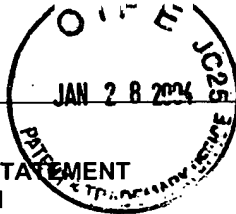
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	WO 01/10320	02/15/01	WIPO		
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	WO 01/08595	02/08/01	WIPO		
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	WO 99/23976	05/20/99	WIPO		
	WO 99/22673	05/14/99	WIPO		
	FR 2 768 326 A1	03/19/99	FR		English Abstract

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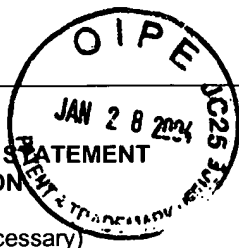
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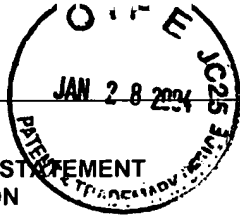
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	DE 34 17 738	11/14/85	DE		Translation
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	UK 2 020 557 B	01/19/83	UK		
	SU 764684	09/25/80	U.S.S.R.		Translation
	DE 28 21 048	07/17/80	DE		

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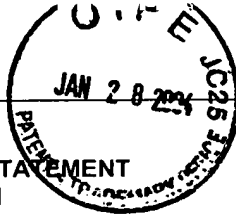
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		"Atherosclerotic Disease of the Aortic Arch as a Risk Factor of Recurrent Ischemic Stroke," The New England Journal of Medicine, pp. 1216-1221 (May 1996)
		"Endovascular Grafts, Stents Drive Interventional Radiology Growth," Cardiovascular Device Update, 2(3):1-12 (March 1996)
		"Protruding Atheromas in the Thoracic Aortic and Systemic Embolization," pp. 423-427 American College of Physicians (1991)
		"Recognition and Embolic Potential of Intraaortic Atherosclerotic Debris," American College of Cardiology (January 1991)
		Cragg, Andrew et al., "A New Percutaneous Vena Cava Filter," <u>AJR</u>, 141:601-604 (September 1983)
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		Lesh, "Can Catheter Ablation Cure Atrial Fibrillation?" <u>ACC Current Journal Review</u>, pp. 38-40 (September/October 1997)
		Lund et al., "Long-Term Patentcy of Ductus Arteriosus After Balloon Dilation: an Experimental Study," <u>Laboratory Investigation</u>, 69(4):772-774 (April 1984)
		Marache et al., "Percutaneous Transluminal Venous Angioplasty...," <u>American Heart Journal</u>, 125(2 Pt 1):362-366 (February 1993)
		Mazur et al., "Directional Atherectomy with the Omnicath™: A Unique New Catheter System," <u>Catheterization and Cardiovascular Diagnosis</u>, 31:17-84 (1994)
		Moussa, MD, Issaam "Stents Don't Require Systemic Anticoagulation...But the Technique (and Results) Must be Optimal," <u>Journal of Invasive Cardiol.</u>, 8(E):3E-7E, (1996)
		Nakanishi et al., "Catheter Intervention to Venous System Using Expandable Metallic Stents," <u>Rinsho Kyobu Geka</u>, 14(2):English Abstract Only (April 1994)
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		Theron et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," <u>American Journal of Neuroradiology</u>, 11:869-874 (1990)
		Tunick et al., "Protruding atherosclerotic plaque in the aortic arch of patients with systemic embolization: A new finding seen by transesophageal echocardiography," <u>American Heart Journal</u> 120(3):658-660 (September 1990)
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